



## Institutional Review Board (IRB)

University of San Jose – Recoletos  
Main Campus Magallanes Street, Cebu City

**FORM 2D**

### IRB ASSESSMENT FORM Study with Human Participants

To the researcher: Fill out the necessary information needed in the following elements below.  
Attached to this form is the sample consent and/or assent form.

STUDY TITLE	
NAME OF PRINCIPAL INVESTIGATOR/ RESEARCHER	
Special Population/Vulnerable Group used as participants in the study  (Refer to WHO and PHREB List)	<input type="checkbox"/> Children (under 18) <input type="checkbox"/> Indegenous People <input type="checkbox"/> Elderly <input type="checkbox"/> People with Disability <input type="checkbox"/> Homeless person <input type="checkbox"/> Poor and Unemployed <input type="checkbox"/> Mentally Challenge Individual <input type="checkbox"/> Others (Please Specify) <div style="border: 1px solid black; height: 20px; width: 100%; margin-top: 5px;"></div>
Number of Participants	
Procedure of data collection/ survey	<input type="checkbox"/> Experimental Procedure (Non-Invasive) <input type="checkbox"/> Experimental Procedure (Invasive) <input type="checkbox"/> Focused Group Discission (FGD) <input type="checkbox"/> Personal Interview <input type="checkbox"/> Self -Administered Questionnaire <input type="checkbox"/> Researcher- Administred Questionnaire <input type="checkbox"/> Telecommunication survey <input type="checkbox"/> Online/ Internet Survey

	<input type="checkbox"/> Others (Please Specify) <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
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A. Assessment Checklist of Informed Consent and Assent

	To be filled out by the Researcher		REVIEWER'S COMMENTS To be filled out by the Ethics Review Committee
Elements of Informed Consent	Check if the following elements are present in your informed consent form	Page and paragraph where the element is found	
1. Brief introduction of the research team	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
2. Statement of invitation to be part of the research study	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
3. Statement that introduce the title of the study and a brief description of the study.	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
4. Statement that described the purpose of the study	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
5. Statement that describes the participants and why they are chosen and being part of the study	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
6. Statement about how many participants needed in the study	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
7. Statement that described the procedures of collection of			

data	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
8. Statement about the responsibility of the participants	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
9. Statement that the participants are voluntary and can withdraw the participation anytime without penalty or loss of benefit	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
10. Statement about the duration of the study and/or interview	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
11. Statement that described the benefit of the participants being part of the study	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
12. Statement if there are foreseeable risks to participants (eg. pain, discomfort, inconvenience)	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
13. Statement that the study product or intervention is proven safe and effective	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
14. Statement of alternative procedures or treatment available to participants if adverse event will happen.	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
15. Statement of compensation and/or payments to the participant in the duration of the study. (eg. money, material goods)	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
16. Statements that the researcher/ investigator is			

competent to handle the participants and or with the guidance of their adviser's and experts in the field.	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
17. Statement that the study is monitored by the adviser, IRERB and other regulatory agency (ex. DSWD) to ensure safety of the participants and the researcher	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
18. Statement of confidentiality of participants collected data and records. This will be kept confidential and will not be made available in public even when the results are published	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
19. Statements of policy and confidentiality of the use of genetic information including tracing of familial lineages	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
20. Statement of collection and use and confidentiality of participants personal and medical records and biological specimen (e.g. tissue and blood sample samples)	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
21. Statement that declares the data privacy protection of participants	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
22. Statement that describes extent and rights to access of the participants to the result of the study	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
23. Statement that describes the termination of study if circumstances may arise that may affect the life of participants	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
24. Statement that study is sponsored and/or has			

institutional affiliation and/or other sources of funds	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
25. Statement regarding persons or institution to whom to contact in case of adverse event that would happen related to the study.	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		
26. Statement that describes the protocols that includes minors. Both the assent form of minors and consent form of Parents'/ guardian/ legal authorized representative must be signed	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable		

B. Assessment checklist for ethical process of informed Consent/ Assent

	To be filled out by the Researcher		REVIEWER'S COMMENTS To be filled out by the Ethics Review Committee
Elements of Informed Consent Process	Check if the following elements are present in the process of informed consent form	Page and paragraph where the element is found	
1. The approved informed consent and assent form will be used during the conduct of the study			
2. If there are more than one language prepared for informed consent, are the participants were given to choose the preferred language			
3. Statement that the participants can ask questions or clarification regarding the informed consent			
4. Statement that the researcher/ investigator will explain the informed consent/ assent			

5. The informed consent will be signed by the participants before the conduct of the procedure			
6. Participants that are unable to read has a witness or legal authorized representative during the presentation and signing of informed consent			
7. The participants were not forced or influenced to sign the informed consent or assent			
8. The informed consent/ assent has a clause and/or provision that the participants understand the content of the form			

DECLARATION of the RESEARCHER/s

<p>I/we certify that the information in this assessment form is true and correct to the best of my/our knowledge. Furthermore, I/we have read and understood the guidelines for the responsible conduct of research and abide all the procedures approved by the technical and ethics committee.</p> <p>I will not start my data collection until I receive and ethics clearance from the Institutional Research Ethics Review Board.</p>	
Signature over Printed Name of the Principal Investigator/ Researcher/ Team Leader:	Date Signed:
Signature over Printed Name of the research team members	
Signature over Printed Name of the Adviser (NOTE: For undergraduate and graduate students only)	

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**ETHICS REVIEW RECOMMENDATION**

This section is to be filled out by the IRERB Committee

**General Assessment**

		REVIEWER'S COMMENTS To be filled out by the Ethics Review Committee
1. Elements needed in the informed consent based on the study are present and complete	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable	
2. The statements are comprehensive and can be understood by the participants	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable	
3. Language used in the informed consent is appropriate to the participants of the study.	<input type="checkbox"/> Yes <input type="checkbox"/> Not applicable	

College Ethics Committee Decision

This section is for the College Research Ethics Review Committee

For Primary Reviewer:

This proposal has been reviewed based on the institutional guidelines in the ethical conduct of research. The primary reviewer reached the following decision:

- Approved without revision
- Approved with minor revision
- Approved with major revision
- Forward the proposal for review by the College/ Department
- Disapproved

Reviewed by:

\_\_\_\_\_  
Signature over Printed Name / Date

For College/ Department Committee

This proposal has been reviewed based on the institutional guidelines in the ethical conduct of research. The College/ Department panel reached the following decision:

- Approved without revision
- Approved with minor revision
- Approved with major revision
- Forward the proposal for Full Board
- Disapproved

Reviewed by:

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Signature over Printed Name / Date

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Note:

When the revision is complete, please send the revised copy together with this application to the Institutional Ethics Review Board for the release of the Ethics Clearance.

Justification of Decision:



